



## ASSESSMENT CATALOG SHEET

**Review Catalog:** Review the below table for a summary of items previously selected for inclusion in your assessment survey. Column "Selected (Yes/No)" indicates "Yes" for items that will be included and "No" for items that will be excluded.

**Import Catalog:** *(Only if intake portal allows for catalog uploads - If it does, you should see an upload catalog button adjacent to the download catalog button)*

**1. Select items from Standard Catalog:** To update your selection of items from the catalog, change "Selected (Yes/No)" to indicate "Yes" to include and "No" to excluded.

**2. Add Your Customized Items:** To add custom items 1). Scroll to the bottom of the list below, 2). Copy and paste your custom items into the appropriate columns (Category, Name, and Description), and 3). Indicate "Yes" in "Selected (Yes/No)".

Note: We recommend including no more than 60 items in your survey to prevent survey respondent drop off and ensure an optimized survey experience for participants. To account for catalogs that survey on many items within one category AND catalogs that survey on a few items across many categories, please pay attention to the maximum number of categories and items in the instructions on the webpage.

Risk Category	Risk	Risk Description	Risk Selected
Financial	Budget - cost management/budget reductions	Pressure to contain college tuition and fees, budgeting process not capturing budget drivers or initiatives to increase revenues/decrease costs, impact of budget reductions (cuts in necessary services, abrogation of internal controls, handicapped capacity to meet institutional goals.	Yes
Financial	Budget and Risk Mitigation Expense	Increasing expense to address risk, such as student safety/on-campus violence, cyber security, Title IX compliance, etc.	Yes
Financial	Financial aid	Over or under award of institution-wide funding due to uncertainty of funding levels, non-compliance with federal or state regulations or restricted funds intended for aid, ineffective aid packaging process, ineffective monitoring of non-institutional aid, inaccurate information on the Free Application for Federal Student Aid (FAFSA), Violation of Federal Work Study regulations, failure to maximize available funds, failure to manage default rate, awards to ineligible students, administrative burden in financial aid assessments.	Yes
Legal and Compliance (Local, state and federal regulation)	Regulatory Risk (Compliance)	Expertise on compliance issue, tracking changes, conflicting or unclear or inappropriate requirements, internal audit, incompetent regulator, politics, staff and financial resources, reporting and oversight, poor enforcement, adequate process and controls, access to legal counsel or subject matter expert for guidance, inadequate training.	Yes
Life Safety	Active Shooter / Active Threat	An active shooter is an individual actively engaged in killing or attempting to kill people in a populated area.	Yes
Life Safety	Bomb Threat	A bomb threat is generally defined as a threat to detonate an explosive or incendiary device to cause property damage, death, or injuries, whether or not such a device actually exists.	Yes
Life Safety	Civil Unrest	Civil disorder, also known as civil disturbance, civil unrest, civil strife or social unrest is a situation arising from a mass act of civil disobedience (such as a demonstration, riot, strike, or unlawful assembly) in which law enforcement has difficulty maintaining their authority.	Yes
Life Safety	Fire	A major fire incident is defined as an incident involving smoke, heat, and flames causing property damage to multiple building fixtures or fittings.	Yes

Life Safety	Severe Weather Intensity and Frequency	Severe weather is any dangerous meteorological phenomenon with the potential to cause damage, serious social disruption, or loss of human life. Types of severe weather phenomena include winter storm, hail, lightning, flood, wind / tornado, etc. These are all projected to increase in intensity and frequency over the next 50 years.	Yes
Life Safety	Sexual Assault / Stalking / Hate Crime	Sexual assault is an act in which one intentionally sexually touches another person without that person's consent, or coerces or physically forces a person to engage in a sexual act against their will.	Yes
Operational	Enrollment Growth Risk	The risk that a college or university is unable to market and sell their services to key target markets and achieve their strategic and financial goals.	Yes
Operational	Governance / Internal Controls	Maintain adequate internal controls over compliance, purchasing, accounting, other processes; continual updating to avoid gaps in antiquated processes; culture; process for review/audit.	Yes
Operational	Minors	The risk of injury, inappropriate or lack of supervision, of minors on campus in formal or informal programs or as guests (outreach programs, athletics, camps, summer programs, programs run by student organizations, faculty guests, etc.).	Yes
Operational	Organizational Resilience Risk	The risk that an organization is unable to deliver its objectives, and rebound and prosper due to its failure to resist, absorb, recover and adapt to business disruption in an ever changing and increasingly complex environment.	Yes
Operational	Sustained Utility / Infrastructure Failure	The threat that infrastructure (the network of utilities that supplies our basic needs for mobility, power, water, sewer and communications) experiences a prolonged outage and/or failure.	Yes
Strategic	Brand/reputation	Reputational risk is a hidden threat or danger to the good name or standing of a business or entity and can occur through a variety of ways. The biggest problem with reputational risk is that it can erupt out of nowhere and without warning.	Yes
Strategic	Competitor Risk	The risk that actions of competitors to the market will threaten the organization's ability to survive.	Yes
Strategic	Student Satisfaction Risk	The risk that a lack of appropriate focus on students' needs and preferences threatens the college's ability to meet or exceed student expectations, resulting in poor student retention.	Yes
Strategic	Higher Education Industry Risk	The risk that both positive and negative changes in the higher education industry, affect the colleges' attractiveness or long-term viability within the sector.	Yes
Strategic	Innovation Risk	The risk that the organization does not invest in new products, fails to meet its quality, cost or scheduling requirements and is unable to respond quickly to new sources of demand.	Yes
Strategic	Strategic Initiatives Risk	The risk that failures to align new initiatives, planning and execution with established organizational vision and direction lead to the misapplication of resources.	Yes
Talent Management	Human Capital / Employment Challenges	Uncertainty in the "new norm" on campus produces financial implications, requires different staffing needs, and a new model for employee recruiting, retention, and rewarding all while experiencing an overall shortage of labor.	Yes
Technology	Data Access Risk	The risk that confidential data (whether employee, student, or organization data) is exposed either intentionally or unintentionally via IT systems.	Yes
Technology	Cybersecurity Risk	The risk that the organization's networks, industrial controls and other IT systems are inordinately exposed to attacks by malicious insiders and outsiders.	Yes